



Supply Chain / OECD Supplier Information Report

Leo Wolleman, Inc. has conducted a Supply Chain review per the OECD (Organisation for Economic Co-operation and Risk Development) standards to meet due diligence guidelines for Responsible Supply Chains of Minerals from Conflict-Affected and High Risk Areas (CAHRA's) for 2024.

Leo Wolleman, Inc. has found its suppliers of Diamonds, Colored Gemstones, Gold & Silver to be responsive in completing due diligence forms to satisfy risk related issues relating to OECD. We have not found any red flags when dealing with our suppliers.

Review of Leo Wolleman, Inc.'s completed due diligence was conducted and matched the internal investigation results.

Supply Chain review and results will be conducted annually with the next report scheduled for January 2025.

For more information regarding the OECD results, please contact us at 212-205-6515 or dstein@leowolleman.com / gurnyk@leowolleman.com.

David Stein
VP / Compliance Officer

Ken Gurny
Vice President

April 4, 2024

Compliance with RJC COP 2019 Standards

Leo Wolleman, Inc. has established policies and is compliant with the due diligence standards of the RJC COP 2019 relating to the below COP categories for the period of calendar year 2024:

- Anti Bribery & Facilitation payments
- Anti Money Laundering and Finance of Terrorism
- Adherence to the Kimberley Process and World Diamond Council System of Warranties
- Supply Chain Policy-OECD-CAHRA's
- Diamonds to be purchased from responsible and legitimate sources
- Company policy with regards to synthetic diamonds
- Security of People and Products
- Sexual Harassment Policy
- Product Integrity Practices
- Human Rights
- Health & Safety Performance
- Community Relations
- Environmental Performance
- Relationship with Business Partners
- Statement of commitment to RJC policies and procedures
- Commitment to employee policies manual

Leo Wolleman, Inc. has completed and is compliant with its Human Rights Due Diligence annual review for the period of Jan-April 2024 per the RJC COP 2019 standards.

David Stein
VP / Compliance Officer

Ken Gurny
Vice President

April 4, 2024